

OCT 12 2005

PTO/SB/21 (09-04)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/644,232
Filing Date	August 19, 2003
First Named Inventor	Timothy Scott Engle
Art Unit	2851
Examiner Name	Melissa J. Koval
Total Number of Pages in This Submission	10
Attorney Docket Number	IFC372

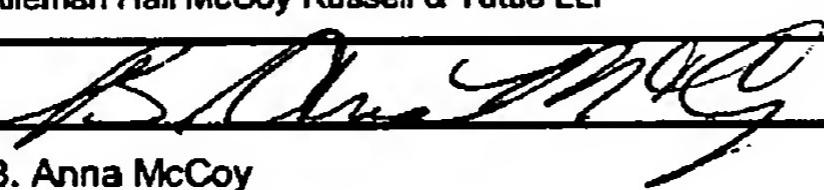
**ENCLOSURES (Check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>- Form PTO-1449 (5 pp.)</b>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
	<b>Remarks</b>	

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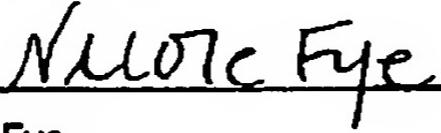
OCT 13 2005

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Alleman Hall McCoy Russell & Tuttle LLP		
Signature			
Printed name	B. Anna McCoy		
Date	October 12, 2005	Reg. No.	46,077

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Nicole Fye	Date	October 12, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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OCT 12 2005

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2008. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL  
For FY 2005** Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

180.00

**Complete If Known**

Application Number	10/644,232
Filing Date	August 19, 2003
First Named Inventor	Timothy Scott Engle
Examiner Name	Melissa J. Koval
Art Unit	2851
Attorney Docket No.	IFC372

**METHOD OF PAYMENT** (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 503397 Deposit Account Name: Alleman Hall McCoy ...

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or underpayments of fee(s)  Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

<u>Application Type</u>	<u>FILING FEES</u>		<u>SEARCH FEES</u>		<u>EXAMINATION FEES</u>		<u>Fees Paid (\$)</u>
	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>
	- 20 or HP =	x 50	= 0			
				360	360	0

HP = highest number of total claims paid for, if greater than 20.

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>
	- 3 or HP =	x 200	= 0		
				360	0

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

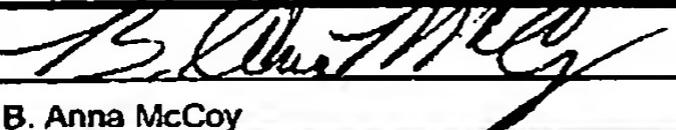
<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 100 =	/ 50 =	(round up to a whole number) x		

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): IDS Fee 180

**SUBMITTED BY**

<u>Signature</u>		<u>Registration No.</u> (Attorney/Agent) 46,077	<u>Telephone</u> 503-459-4141
Name (Print/Type)	B. Anna McCoy		Date October 12, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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OCT 12 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Paper Date: October 12, 2005

TIMOTHY SCOTT ENGLE et al.

Serial No. : 10/644,232

Filed : August 19, 2003

Title : METHOD AND SYSTEM FOR A THERMAL ARCHITECTURE  
AND USER ADJUSTABLE KEYSTONE IN A DISPLAY DEVICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97, 1.98**

Sir:

In compliance with the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97, and 1.98, the following patents, publications, applications, and/or other information are being submitted and disclosed to the Office, including those listed on the enclosed Form PTO-1449, for consideration by the Examiner.

Applicants respectfully request that the disclosed information be made of record and expressly considered by the Examiner during examination of the pending application. Further, Applicants respectfully request that the disclosed items be listed on the face of any patent issuing from the pending application in the "references cited" column.

In accordance with 37 C.F.R. § 1.97 (g), the present information disclosure statement is not a representation that a search has been made.

In accordance with 37 C.F.R. § 1.97 (h), the present information disclosure statement is not an admission that the information cited in the statement is, or is considered to be, material to patentability.

In accordance with MPEP § 609, Applicants respectfully request that if the present application is a continuing application, all information considered by the Office in the parent application be considered in the present application, although it need not be listed on the face of the patent unless resubmitted in this or another information disclosure statement filed in this application.

Finally, the present information disclosure statement is not an admission that the information cited in the statement is, or is considered to be, prior art.

10/13/2005 EAREGAY1 00000044 503397 10644232

01 FC:1806 180.00 DA

Page 1 of 3      INFORMATION DISCLOSURE STATEMENT  
Serial No. 10/644,232

**BASIS FOR CONSIDERATION BY THE OFFICE UNDER 37 C.F.R. § 1.97** **37 C.F.R. § 1.97(b)**

The present information disclosure statement is being filed, to the best of Applicants' knowledge and belief:

- (1) within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d); or
- (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or
- (3) before the mailing of a first Office action on the merits; or
- (4) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

 **37 C.F.R. § 1.97(c)**

The present information disclosure statement is being filed, to the best of Applicants' knowledge and belief, before the mailing of any of: a final action under 37 C.F.R. § 1.113, a notice of allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in the application.

Applicants certify that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicants certify that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement.

OR

A check in the amount of \_\_\_\_\_ is enclosed.

Please charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account 503397.

 **37 C.F.R. § 1.97(d)**

The present information disclosure statement is being filed, to the best of Applicants' knowledge and belief, on or before payment of the issue fee.

Applicants certify that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicants certify that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement.

AND

A check in the amount of \_\_\_\_\_ is enclosed.

Please charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account \_\_\_\_\_.

**CONTENT OF INFORMATION DISCLOSURE STATEMENT 37 C.F.R. § 1.98**

The present information disclosure statement includes any information noted above, along with a Form PTO-1449 (5 pages).

If applicable, a legible copy of the following is being included:

- (1) Each foreign patent or published foreign patent application, along with an English language abstract for all non-English foreign patent documents (identified by country or patent office, an appropriate document number, and the publication date).
- (2) Each publication (identified by published, author (if any), title, relevant pages of the publication , date, and place of publication).

If there are any questions regarding this submission, please contact the undersigned.

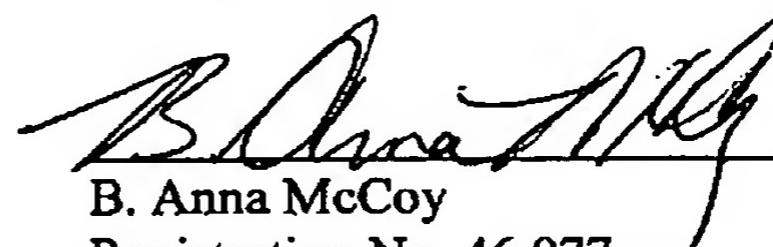
Respectfully submitted,

**CERTIFICATE OF FAX TRANSMISSION**

I hereby certify that this correspondence is being deposited with the U.S. Patent and Trademark Office via facsimile at 571-273-8300 on October 12, 2005.

Nicole Fye  
Nicole Fye

ALLEMAN HALL MCCOY  
RUSSELL & TUTTLE LLP



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Customer No. 50488  
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Facsimile: (503) 459-4142

SHEET 1 OF 5

FORM PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION	DOCKET NUMBER IFC372	APPLICATION NUMBER 10/644,232
	APPLICANT(S) Timothy Scott Engle	
	FILING DATE August 19, 2003	GROUP ART UNIT 2851

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.
	3485165	12/23/1969	Hughes			
	3712707	1/23/1973	Henkes Jr.			
	4730897	3/15/1988	McKechnie et al.			
	4773731	9/27/1988	Goldenberg et al.			
	4921330	5/1/1990	Takahashi et al.			
	4927248	5/22/1990	Sakakibara et al.			
	4979801	12/25/1990	Park			
	RE33795	1/14/1992	Ogino			
	5442484	8/15/1995	Shikawa			
	5818639	10/6/1998	Furuya			
	6048707	4/11/2000	Klock Jr.			
	6052226	4/18/2000	Takahashi			
	6144503	11/7/2000	Sugano			
	6188523	2/13/2001	Choi			
	6307675	10/23/2001	Abe et al.			
	6348993	2/19/2002	Hori			
	6400504	6/4/2002	Miyata			
	6407859	6/18/2002	Hennen et al.			
	6416181	7/9/2002	Kessler et al.			
EXAMINER			DATE CONSIDERED			

SHEET 2 OF 5

FORM PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION	DOCKET NUMBER IFC372	APPLICATION NUMBER 10/644,232
	APPLICANT(S) Timothy Scott Engle	
	FILING DATE August 19, 2003	GROUP ART UNIT 2851

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.
	5302983	4/12/1994	Sato et al.			
	5422691	6/6/1995	Ninomiya et al.			
	5442413	8/15/1995	Tejima et al.			
	5489940	2/6/1996	Richardson et al.			
	5495306	2/27/1996	Shibasaki			
	5710668	1/20/1998	Gohman et al.			
	5716118	2/10/1998	Sato et al.			
	5724195	3/3/1998	Enomoto et al.			
	5760973	6/2/1998	Kawamura			
	5796528	8/18/1998	Mihara			
	5805359	9/8/1998	Yamanashi			
	5820240	10/13/1998	Ohzawa			
	5870234	2/9/1999	Ebbesmeier nee Schittof			
	5923479	7/13/1999	Nagata			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES	NO

**OTHER DOCUMENTS**

EXAMINER	DATE CONSIDERED

SHEET 3 OF 5

FORM PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION		DOCKET NUMBER IFC372	APPLICATION NUMBER 10/644,232
		APPLICANT(S) Timothy Scott Engle	
		FILING DATE August 19, 2003	GROUP ART UNIT 2851

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.
	5833339	11/11/1998	Sarayeddine			
	5978051	11/2/1999	Gohman et al.			
	5999332	12/7/1999	Ohno			
	6016229	1/18/2000	Suzuki			
	6018425	1/25/2000	Nakabayashi et al.			
	6038085	3/14/2000	Nakazawa			
	6046859	4/4/2000	Raj			
	6053615	4/25/2000	Peterson et al.			
	6081380	6/27/2000	Ohshima et al.			
	6088172	7/11/2000	Sato			
	6111701	8/29/2000	Brown			
	6123425	9/26/2000	Ohzawa			
	6137638	10/24/2000	Yamagishi et al.			
	6147812	11/14/2000	Narimatsu et al.			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES	NO

**OTHER DOCUMENTS**

EXAMINER	DATE CONSIDERED

SHEET 4 OF 5

FORM PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION		DOCKET NUMBER IFC372	APPLICATION NUMBER 10/644,232			
		APPLICANT(S) Timothy Scott Engle				
		FILING DATE August 19, 2003	GROUP ART UNIT 2851			
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.
	6201647	3/13/2001	Ohzawa			
	6236511	5/22/2001	Brown			
	6273338	8/14/2001	White			
	6301058	10/9/2001	Nagahara			
	6353509	3/5/2002	Nakazawa			
	6366400	4/2/2002	Ohzawa			
	6384987	5/7/2002	Sensui			
	6406150	6/18/2002	Burstyn			
	6407860	6/18/2002	Funazaki et al.			
	6419365	7/16/2002	Potekev et al.			
	6513935	2/4/2003	Ogawa			
	6561649	5/13/2003	Burstyn			
<b>FOREIGN PATENT DOCUMENTS</b>						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES      NO
<b>OTHER DOCUMENTS</b>						
EXAMINER	DATE CONSIDERED					

SHEET 5 OF 5

FORM PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION		DOCKET NUMBER IFC372	APPLICATION NUMBER 10/644,232			
		APPLICANT(S) Timothy Scott Engle				
		FILING DATE August 19, 2003	GROUP ART UNIT 2851			
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.
	6417966	7/9/2002	Moshrefzadeh et al.			
	6471359	10/29/2002	Kim et al.			
	6473236	10/29/2002	Tadic-Galeb et al.			
	6485145	11/26/2002	Cotton et al.			
	6493032	12/10/2002	Wallerstein et al.			
	6624952	9/23/2003	Kuwa et al.			
	6626541	9/30/2003	Sunaga			
	6652104	11/25/2003	Nishida et al.			
	6788460	9/7/2004	Knox et al.			
	6804055	10/12/2004	Peterson et al.			
	6808271	10/26/2004	Kurematsu			
	6813094	11/2/2004	Kaminsky et al.			
	6853493	2/8/2005	Kreitzer			
	2003/0053206	3/20/2003	Takayoshi			
	2004/0227990	11/18/2004	Peterson et al.			
<b>FOREIGN PATENT DOCUMENTS</b>						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES      NO
<b>OTHER DOCUMENTS</b>						
EXAMINER		DATE CONSIDERED				